

Walmart Direct Import Supplier Prequalification

Responsible Sourcing Compliance, Supply Chain Security Compliance, and Food Safety Compliance audits are mandatory requirements to become a qualified Walmart direct import supplier.

Responsible Sourcing Compliance

Walmart's expectation of compliance with our Standards for Suppliers extends throughout the supply chain. We use third-party social, safety and environmental compliance audits to help us evaluate our suppliers' overall compliance and to manage risk in the supply chain.

The Social Audit seeks to monitor whether, among other things, workers are properly paid for the work they do, labor is voluntary, workers are not being exploited, facilities comply with employment age laws and standards, working hours are not excessive and are consistent with local laws and standards, and facilities meet health and safety laws and regulations.

Walmart reviews third-party facility audit reports suppliers submit and assigns each facility a color rating based on the third-party program's evaluation of the facility and Walmart's own review of the reports.

Supply Chain Security Compliance

Suppliers must comply with Walmart's Standards for Suppliers, which, among other things, require suppliers to ensure that merchandise is transported safely and securely.

Global Supply Chain Security program is the accumulation of controls throughout the supply chain process that enhance the security of the supply chain during the transportation of finished merchandise, allowing protection against threats such as smuggling, terrorism, fraud and theft.

This SCS program generally requires suppliers to disclose applicable facilities, schedule audits of the facilities, and provide the reports of those audits to Walmart.

Food Safety Compliance

Walmart requires processing and / or co-packing facility must meet food safety audit requirement, including food safety management requirements (like general document requirements, procedures, product release, etc.), good manufacturing practice (GMP), hazard analysis and critical control (HACCP).

However, if you hold a valid GFSI certificate, you are exempted from this audit.

In the absence of a GFSI certificate, if you hold either ISO22000 or HACCP certificate, we can accept it provisionally for a year. Please note, it is essential to obtain a GFSI certificate after one year to continue receiving orders.

3 Audits Requirement to Meet for Direct Import Suppliers

Responsible Sourcing Compliance, Supply Chain Security Compliance, and Food Safety Compliance audits are mandatory requirements to become a qualified Walmart direct import supplier. Below are the 2 main steps to assist suppliers to understand and complete related processes.


Step 1 Disclose Supplier and Facility Information

If you are an existing facility with Walmart Retail Link 8-digital ID:

- Pls. provide the factory ID;
- Pls. provide supplier information as below

Vendor # assigned by WM China	Supplier Name	Supplier Contact	Supplier Tel.	Supplier Email	Category Number	Buyer name in WM China	RETAIL MARKET
							WM China

If you are a new supplier & new facility without Walmart Retail Link 8-digital ID:

- Pls. fill in attached disclosure form;  **Disclosure Form**
- Pls. send back the disclosure form with scan of the facility's business license or any of below equivalent document if business license is not applicable in the country/area where the facility locates.

- Proof of tax filings; Food safety certificates; Utility bills (electricity or water bills); Water treatment certificates, etc.; or
- Any other government issued document that contains the name and address of the facility

*For more details of how to disclose a facility and Walmart Disclosure Policy, pls. find the guidance [HERE](#).

Step 2 Complete 3 Audits Requirement

Responsible Sourcing (RS) Audit

- If you are an existing facility, pls. check with China RSC team (CNR SCT0@walmart.com) on the audit status.
- If you are a new facility, pls. refer to [the 4-steps guide](#) on how to complete a RS audit.
 - If your supplier (not facility) meets below definition of Small Supplier, pls. contact merchant and RSC compliance to proceed the Small Supplier Program.
 - Supplier's global sales revenue under \$3 million per year, or
 - Supplier's total global sales to Walmart, Inc. under \$350,000 per year.
 - If you have valid RS audit report obtained within past 12 months, please send it to RSSFACN@walmart.com for review, and cc merchant and China RSC team.
 - If you don't have any RS audit report, please schedule an audit as soon as you can and update the progress to merchant and China RSC team.
- To be qualified for receiving the Direct import PO, the factory is required to get the Green/Yellow/Orange RS assessment rating; factory located in Cat.3 countries and territories is required to get the Green/Yellow RS assessment rating. Please contact with China RSC team (CNR SCT0@walmart.com) to check on your facilities' category level and audit requirement.

Supply Chain Security (SCS) Audit

- If you are an existing facility, pls. check with China RSC team (CNR SCT0@walmart.com) on the audit status.
- If you are a new facility, 4 options are available to demonstrate compliance with Walmart Standard of Suppliers.
 - Option 1: Obtain a [Walmart SCS audit](#). Walmart SCS audit will be assigned to authorized audit firm by Walmart once facility ID is set-up.
 - Option 2: Obtain a SCS audit from an [approved third-party program](#).
 - Option 3: Participate in the [small supplier program](#)
 - Option 4: Demonstrate that it is CTPAT Tier 1, 2 or 3 certified, have an AEO accepted by Walmart or another Walmart accepted waiver
- To be qualified for receiving the Direct import PO, the factory is required to get the Green/Yellow/Orange SCS assessment rating; factory located in Cat.3 countries and territories is required to get the Green/Yellow SCS assessment rating; Please contact with China RSC team (CNR SCT0@walmart.com) to check on your facilities' category level and audit requirement.
 - Remark: factory being the consolidated warehouse is required to get the Green/Yellow SCS assessment rating to apply the waiver for manufacturing facility.

Food Safety Audit

Walmart requires processing and / or co-packing facility must meet food safety audit requirement.

Please check which of the following three categories of facilities your facility belongs to, and take the corresponding actions required to provide the supporting documents to jessie.tan@walmart.com to get food safety approval for your facility.

Category 1 Facility that hold GFSI-recognized certificate

1 action needed:

Provide one of the following GFSI certificates

BRC, SQF, GLOBAL GAP, IFS, FSSC 22000, GRMS, CANADA GAP, PRIMUS GFS, GAA (BAP), JFSM (for more details, please visit www.mygfsi.com)



Category 2 Facility that hold ISO 22000, HACCP certificate or food safety audit report based on GFSI global market program

2 actions needed:

1. Provide one of the following documents.

ISO 22000, HACCP certificate or food safety audit report based on GFSI global market program

2. Provide GFSI certification plan

Facility should proceed to obtain GFSI certificate within 12 months of the product award, GFSI certification plan should be filled in the attached form and the complete form should be provided 



Category 3 Facility that does not have the above certificates or audit report

2 actions needed:

1. Facility needs to undergo food safety audit based on GFSI global market program. For more details, please contact jessie.tan@walmart.com

2. Provide GFSI certification plan (the same as above)